

Reporting Form
For Business, Individual or NY State Entity reporting a
"Breach of the Security of the System"
Pursuant to the Information Security Breach
and Notification Act (General Business Law §889-aa;
State Technology Law §208)

Name of Business, Individual or State Entity NYS Liquor Authority / Division of
Alcoholic Beverage Control

Date of Discovery of Breach: 03/07/2006

Estimated Number of Affected Individuals: 2

Date of Notification to Affected Individuals: 03/20/2006

Manner of Notification: written notice
 electronic notice (email)
 telephone notice

Are you requesting substitute notice? Yes No (If yes, attach justification)

Content of Notification to Affected Individuals: Describe what happened in general terms and what kind of information was involved. Please attach copy of Notice.

Due to data entry errors in our Licensing file, in 2 instances, information displayed via the Agency's Public License Query Web Application contained unformatted, unlabelled social security number information next to the names of 2 licensees. Upon IT being notified by a licensee on 03/07/06 about 1 of the ssn disclosures, IT performed an immediate search of the entire database and found 1 other instance of the problem in the database. The problem was corrected immediately. Throughout the following week, IT searched all Web Site log files to obtain information on every instance where the information for either record was accessed via the Web Application. For the first individual, Paul Glinski, the record was accessed 4 times from August 2005 to 03/07/2006, with 1 of those accesses on 03/07/2006 by IT staff within the Agency. For the second individual, Nance Alder, the information was accessed once in May, 2005. Both individuals have been mailed detailed notification letters on 3/24/2006.

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Dated: 03/20/2006
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