

Reporting Form
For Business, Individual or NY State Entity reporting a
"Breach of the Security of the System"
Pursuant to the Information Security Breach
and Notification Act (General Business Law §889-aa;
State Technology Law §208)

Name of Business, Individual or State Entity: Pershing, LLC
Date of Discovery of Breach: April 18, 2006
Estimated Number of Affected Individuals: 2
Date of Notification to Affected Individuals: April 26, 2006
Manner of Notification: written notice
 electronic notice (email)
 telephone notice

Are you requesting substitute notice? Yes No (If yes, attach justification)

Content of Notification to Affected Individuals: Describe what happened in general terms and what kind of information was involved. Please attach copy of Notice.

Pershing inadvertently made available to an unintended broker dealer, who is also a customer of Pershing, Retail customer account information such as name, address, social security number. All information has been masked and we have no reason to believe the information was compromised.

Name of Business or Individual Contact Person: Paul Doelger
Title: Privacy Compliance Officer
Telephone number: 201-395-1292
Email: pdoelger@pershing.com

Dated: April 26, 2006
Submitted by: Jaceli M. Pineda
Title: Vice President & Counsel
Address: One Pershing Plaza Jersey City NJ 07399
Email: jpineda@pershing.com
Telephone: 201-413-2959 Fax: 201-413-4799

Pershing

A BNY Securities Group Co.
Solutions from The Bank of New York

One Pershing Plaza
Jersey City, New Jersey 07399

Pershing LLC, member NASD, NYSE, SIPC. Trademark(s) of Pershing Investments LLC.

<Date>

<Client Name>

<Address 1>

<Address 2>

<City>, <State> <Zip Code>

RE: Your BCG Securities, Inc. Brokerage Account

As you are probably aware, on April 24, 2006 your account was successfully converted from First Clearing LLC to Pershing LLC. By having Pershing as the custodian of your account, BCG Securities and your Financial Advisor will be able to offer you an increased level of service and superior technology enhancements.

Throughout the course of the conversion you have received various notices and forms from both BCG Securities and Pershing. Please be advised that you may have received a mailing from another broker-dealer regarding a new brokerage account number, which may be discarded, as this account was opened in error. While transferring your account, information about your brokerage account may have inadvertently been made available through the Pershing brokerage platform to another broker-dealer who is also a Pershing customer. All broker-dealers who are customers of Pershing are subject to a confidentiality agreement, which requires that they treat all information received by Pershing as confidential. The information made available may have included your name, address, Social Security Number, telephone number, date of birth, and beneficiary information (if you hold an individual retirement account), but not information relating to your account holdings or balances.

We have been assured by the receiving broker-dealer that the information was not accessed by any party and we have no reason to believe that your personal information has been compromised in any way. As a precaution and a courtesy, we want to make you aware of that mailing.

Your new account number with BCG Securities and other pertinent information has been sent to you under a separate letter and it has been verified by Pershing that your account is live and active.

We look forward to a long and successful relationship with you and BCG Securities. If you have questions, please contact BCG Securities directly (888) 394-2247.

Sincerely,

Jon Feinstein
Vice President
Relationship Manager to BCG Securities, Inc.

Pershing

A BNY Securities Group Co.
Solutions from The Bank of New York

One Pershing Plaza
Jersey City, New Jersey 07399

Date: 4/26/06

Number of pages incl. cover sheet 4

- From
- () Michael Andrews
 - () George Arnett
 - () Bob Berson
 - () Jeffrey M. Bloch
 - () Vivienne Brann Bostic
 - () Chenice Brinson
 - () Zu Diego
 - () Lisa Gillis
 - () Thomas A. Franko
 - () William Hanley
 - () Danielle Hofstetter
 - () Richard Izzo
 - () Jesse Lawrence
 - () Robin Lester
 - () Viktoria Makarevich
 - () Shirley Marcel
 - () Robert Michelena
 - () Neil O'Donnell
 - Jodi Pinedo
 - () Marisa Sprull
 - ()

Phone (201) 413- 2939
Fax (201) 413-4789

Legal Dept. FAX

FAX TO:

COMPANY: CPB

PHONE #:

FAX #: 578-474-2474

CC:

Re:

Fax Disclaimer

The information in this facsimile message and any attachments herein ("fax") is sent by an attorney or his or her agent. The information may contain confidential or proprietary information for use by the addressee only and may be protected by attorney-client privilege, work product immunity or other legal rules. If the reader of this message is not the intended recipient, you are notified that retention, dissemination or copying of this fax is strictly prohibited. If you receive this fax in error, please notify us immediately by telephone and return it to the address above. Thank you.

PLEASE SUBMIT THIS FORM TO ALL THREE (3) STATE AGENCIES as follows:

Fax this form to the Office of Cyber Security & Critical Infrastructure Coordination (CSCIC) & Consumer Protection Board (CPB):

CSCIC:

Security Breach Notification-
fax: 518-474-9090

CPB:

Security Breach Notification-
fax: 518-474-2474

and also **Fax & Mail** this form to

Attorney General:

Asst. AG in Charge
Bur. of Cons. Frauds
120 Broadway - 3rd Floor
New York, NY 10271
Fax No: 212-416-6003