



## Fax Cover Sheet

**DATE:** August 16, 2006      **TIME:** 4:50:13 PM

**TO:** New York State Consumer Protection Board  
Security Breach Notification

**PHONE:**

**FAX:** (518) 474-2474

**FROM:** Linda Clark      **PHONE:** 561-999-3963

**FAX:** 561-981-0869

**RE:**

**CC:**

**Number of pages including cover sheet:** 13

### Message

**Please see attached.**

Confidential Notice: The documents accompanying this facsimile transmission contain information that is legally privileged, constitute inside information under securities laws or are otherwise privileged and protected from unauthorized disclosure. If you have received this document in error, you are hereby requested to contact the sender immediately by telephone or otherwise so that we can arrange for the return of these documents. If you receive this telecopy in error, you are hereby notified that any disclosure, copying, distribution or the taking of any action in reliance on the contents hereof is strictly prohibited.

**Confidential**

**Reporting Form**  
**For Business, Individual or NY State Entity reporting a**  
**"Breach of the Security of the System"**  
**Pursuant to the Information Security Breach**  
**and Notification Act (General Business Law §889-aa;**  
**State Technology Law §208)**

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Name of Business, Individual or State Entity LexisNexis Risk Management Inc.  
Date of Discovery of Breach: June 27, 2006  
Estimated Number of Affected Individuals: 51 New York residents  
Date of Notification to Affected Individuals: August 8, 2006  
Manner of Notification:  written notice  
 electronic notice (email)  
 telephone notice

Are you requesting substitute notice?  Yes  No (If yes, attach justification)

Content of Notification to Affected Individuals: Describe what happened in general terms and what kind of information was involved. Please attach copy of Notice.  
Please see first notification described in letter attached.  
\_\_\_\_\_  
\_\_\_\_\_

Name of Business or Individual Contact Person: Linda Clark  
Title: Corporate Counsel  
Telephone number: 561-999-3963  
Email: Linda.Clark@LexisNexis.com

Dated: August 16, 2006  
Submitted by: Linda Clark  
Title: Corporate Counsel  
Address: 6601 Park of Commerce Blvd., Boca Raton, FL 33487  
Email: Linda.Clark@LexisNexis.com  
Telephone: 561-999-3963 Fax: 561-981-0869

**Reporting Form**  
**For Business, Individual or NY State Entity reporting a**  
**"Breach of the Security of the System"**  
**Pursuant to the Information Security Breach**  
**and Notification Act (General Business Law §889-aa;**  
**State Technology Law §208)**

Name of Business, Individual or State Entity LexisNexis Risk Management Inc.  
Date of Discovery of Breach: July 12, 2006  
Estimated Number of Affected Individuals: 1 New York resident  
Date of Notification to Affected Individuals: July 31, 2006  
Manner of Notification:  written notice  
 electronic notice (email)  
 telephone notice

Are you requesting substitute notice?  Yes  No (If yes, attach justification)

Content of Notification to Affected Individuals: Describe what happened in general terms and what kind of information was involved. Please attach copy of Notice.

Please see second notification described in letter attached.

Name of Business or Individual Contact Person: Linda Clark  
Title: Corporate Counsel  
Telephone number: 561-999-3963  
Email: Linda.Clark@LexisNexis.com

Dated: August 16, 2006  
Submitted by: Linda Clark  
Title: Corporate Counsel  
Address: 6601 Park of Commerce Blvd., Boca Raton, FL 33487  
Email: Linda.Clark@LexisNexis.com  
Telephone: 561-999-3963 Fax: 561-981-0869



**LINDA K. CLARK, ESQ.**  
**CORPORATE COUNSEL**  
**LEXISNEXIS RISK MANAGEMENT**  
*AUTHORIZED FLORIDA HOUSE COUNSEL*

August 16, 2006

Office of the New York State Attorney General (by facsimile and mail)  
Asst. Attorney General in Charge  
Bureau of Consumer Frauds  
120 Broadway - 3<sup>rd</sup> Floor  
New York, NY 10271  
Fax: (212) 416-6003

New York State Consumer Protection Board (by facsimile)  
Security Breach Notification  
5 Empire State Plaza, Suite 2101  
Albany, New York 12223  
Fax: (518) 474-2474

New York State Office of Cyber Security and Critical Infrastructure Coordination (by facsimile)  
30 S. Pearl Street - Floor P2  
Albany, New York 12207-3425  
Fax: (518) 474-9090

We are writing to inform you about two incidents affecting LexisNexis customers in which personally identifiable information of New York residents may have been accessed through unauthorized use of LexisNexis services. Based on the investigation of each of these incidents, LexisNexis is notifying those individuals whose personal information may have been accessed.

The first incident involved a state government user ID that was misused by a government employee to perform unauthorized searches on the Lexis.com service. Upon investigation, LexisNexis is notifying 51 New York residents whose information may have been accessed. The second incident involved unauthorized searches by a customer's employee who was authorized to have access to and use of Accurint, but misused the service to obtain information on certain individuals for personal reasons. Upon investigation, LexisNexis is notifying 1 New York resident whose information may have been accessed. A copy of the notification letter sent in connection with each incident is enclosed.

LexisNexis will notify all potentially affected individuals by letter. As explained therein, LexisNexis is providing free credit monitoring services to each individual and additional assistance free of charge should there be any indication of misuse of personal information relating to the individual.

Our investigation following each incident was conducted in cooperation with the affected customer and law enforcement where appropriate.



Please do not hesitate to contact me at (561) 999-3963 if you have questions or concerns.

Very Truly Yours,

A handwritten signature in cursive script that reads "Linda K. Clark".

Linda K. Clark

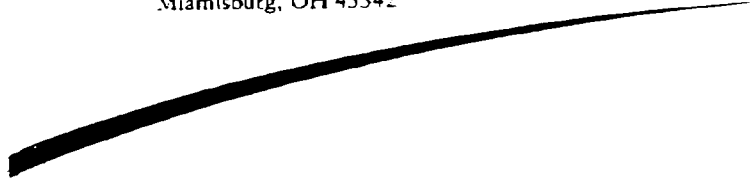
Enclosures (Form Notices)



LexisNexis®

9443 Springboro Pike  
Miamisburg, OH 45342

Sample Notification Letter for First Incident



**URGENT - DO NOT DISCARD  
IMPORTANT SECURITY INFORMATION**

NON  
TTS9999999  
A B ANYNAME  
123 ANY STREET  
ANYTOWN US 01234-5678



AUG-16-2006 17:38 From: LEXISNEXIS - LEGAL 561 981 0869

To: 915184742474

P.6/13



August 8, 2006

1199999999

A B Anyname  
123 Any Street  
Anytown US 01234-5678

Dear A B Anyname:

I am writing to you on behalf of LexisNexis because we believe that an employee of a LexisNexis customer may have used his account in an unauthorized manner that allowed some personal information about you to be viewed. That information may have included your name, address, Social Security number and/or Driver's License number. We understand that such unauthorized use or exposure may create a risk of identity theft and we treat it very seriously. Although we have no evidence that your information has been misused, we are notifying you so that you can, if you deem appropriate, take additional steps to protect your personal information. We deeply regret that individuals like you, who are the primary beneficiaries of LexisNexis® products and services, may have been affected by this incident.

#### How LexisNexis Will Assist You

We are committed to assisting you through this unfortunate situation and providing you with the tools to correct any problems that may arise.

To that end, we are working with Equifax®, a credit management and identity theft protection service, to help you monitor your credit reports for one year at no cost.

Equifax will help you protect your identity and your credit information through these steps:

1. Placing a fraud alert on your credit file at Equifax and the other two credit reporting agencies, TransUnion and Experian; and
2. Enrolling you in Equifax Credit Watch™ Gold identity theft protection service and obtaining your 3-in-1 Credit Report for you.

These services are being provided to you at no cost. This letter and the attachment include everything you need to sign up for this free service.

If there is reason to believe that you have been a victim of fraud as a result of this incident, we have arranged for you to have access to the Equifax Fraud Victim Assistance Program. This program includes one-on-one counseling from an Equifax Fraud Specialist and provides you with:

- Fraud Education Providers – a Fraud Specialist will provide you with information that will help you proactively protect yourself from identity theft and provide information to you regarding the credit reporting agencies, such as telephone numbers, Web sites and mailing addresses, and
- Fraud Assistance – a Fraud Specialist will provide you with a step-by-step process to reduce your exposure to further fraud and clear up any negative credit inferences in your name by helping you write letters that you may send to dispute fraudulent accounts at credit bureaus and with creditors.

#### How to Enroll

Equifax has a simple Internet-based verification and enrollment process. Go to [www.myservices.equifax.com/lexis](http://www.myservices.equifax.com/lexis), and follow these steps:

- **Step 1 – Registration:** Complete the form with your contact information (name, address, telephone number, Social Security number, date of birth, e-mail address). The information is provided in a secure environment.
- **Step 2 – Verify Your Identity:** Equifax will verify your identity by asking you one or two security questions.
- **Step 3 – Order Summary:** During the “check out” process, provide the following promotional code **LEXIS2-XXXXXXXXXX** in the “Enter Promotion Code” box. (This code eliminates the need to provide a credit card number for payment.)
- **Step 4 – Go to the Member Center,** where you can access the 3-in-1 Credit Report. You will receive a follow-up e-mail confirmation of your enrollment in Equifax Credit Watch identity theft protection service.

If you prefer, you can order the enrollment materials to be delivered via U.S. Mail. Please call the Equifax Customer Service Center at 1-866-572-1424, provide the promotional code from Step 3 above and their Customer Service representative will assist you in ordering these services.

#### Additional Resources

In addition to the support through Equifax, you may also wish to utilize one or more of the following resources:

- We have created a special Web site with the information contained in this letter, plus additional information regarding identity security. Visit the site at <http://privacyfacts.lexisnexis.com>. If you prefer to speak with someone at LexisNexis, you may reach us at 1-866-293-3894.
- Most states have a consumer fraud division, and you can also enlist their help. A list of state offices is available on the special LexisNexis Web site listed above. You may also call us at 1-866-293-3894 to obtain this information.
- For more general educational information on identity theft, visit the Federal Trade Commission Web site, [www.consumer.gov/idtheft](http://www.consumer.gov/idtheft), or call at 1-877-IDTHEFT (1-877-438-4338).

#### Why Does LexisNexis Have This Type of Information?

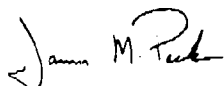
LexisNexis provides information such as public records, publicly available information and non-public information (such as Social Security numbers and Driver's License numbers) to businesses, government and legal professionals for legitimate business uses.

LexisNexis only provides access to non-public information to customers with a legally permissible purpose. These customers include law enforcement agencies, federal homeland security departments, prospective employers, banking and financial services companies and insurance carriers. Examples of the ways LexisNexis products and services are used include:

- Banks verifying their customer information for new accounts or loan applications;
- Insurance companies verifying their customer information to reduce applicant and claims fraud in efforts to keep insurance rates lower; and
- Telecommunications companies verifying their applicant information for instant cell phone service.

Again, we regret any trouble this incident may cause you. We pledge our continued commitment to reducing this type of incident. We will continue to work with our customers and appropriate authorities to improve data safeguards and privacy protections.

Very truly yours,



James M. Peck, CEO  
LexisNexis Risk Management Group

**Direct Correspondence:**  
LexisNexis Regulatory Compliance  
9443 Springboro Pike  
Miamisburg, OH 45342

## The Equifax Consumer Protection Solution

Equifax is pleased to offer you a solution that will help you protect your identity and your credit information.

### Place a Fraud Alert

A fraud alert is a consumer statement added to your credit report. This statement alerts creditors of possible fraudulent activity within your report as well as requests that they contact you prior to establishing any accounts in your name. Once the fraud alert is added to your credit report, all creditors should contact you prior to establishing any account in your name. To place a fraud alert on your Equifax® credit file, you may contact our auto fraud line at 1-888-766-0008, and follow the simple prompts. Once the fraud alert has been placed with Equifax, a notification will be sent to the other two credit reporting agencies, Experian and TransUnion, on your behalf.

### Enroll in Equifax Credit Watch™ and obtain your 3-in-1 Credit Report

These Equifax credit information solutions will provide you with an "early warning system" to changes to your Equifax credit file and to understand the content of your credit file at the three credit reporting agencies. Specifically, the features and benefits of each solution are:

Equifax Credit Watch provides you with a 1-year membership service which includes:

- Daily alert notifications of changes in your Equifax Credit Report™ that may be an indicator of identity theft, such as new account openings, new credit inquiries, address changes and/or balance changes. If no changes occur during the month, you will receive a "No News is Good News" notification.
- Access to your Equifax Credit Report
- 24 by 7 live agent Customer Service to assist you in understanding the content of your Equifax credit information, to provide personalized identity theft victim assistance and in initiating an investigation of inaccurate information

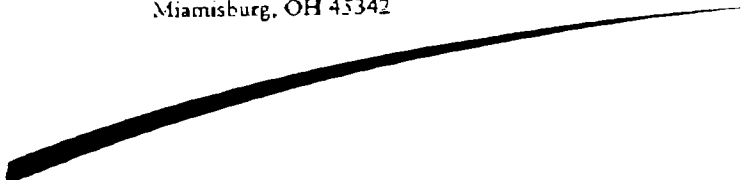
3 in 1 Credit Report provides you with an easy-to-read, single report that contains your credit information from all three credit reporting agencies. You will also have access to our Customer Service agents.

The accompanying letter contains the instructions for obtaining these services.

Sample Notification Letter for Second Incident



9443 Springboro Pike  
Miamisburg, OH 45342



**URGENT - DO NOT DISCARD  
IMPORTANT SECURITY INFORMATION**

TT9503001  
A B ANYNAME  
123 ANY STREET  
ANYTOWN US 06789-1234

First-Class Mail  
U.S. POSTAGE  
PAID  
Dayton, Ohio  
Permit # 248

AUG-16-2006 17:39 From: LEXISNEXIS - LEGAL 561 981 0869

To: 915184742474

P. 10/13



July 31, 2006

TT9003001

A B Anyname  
123 Any Street  
Anytown US 06789-1234

Dear A B Anyname:

I am writing to you on behalf of LexisNexis, and our subsidiary company, Seisint, because we believe that an employee of a Seisint customer may have used her account in an unauthorized manner that allowed some personal information about you to be viewed. That information may have included your name, address, Social Security number and/or Driver's License number. We understand that such unauthorized use or exposure may create a risk of identity theft and we treat it very seriously. Although we have no evidence that your information has been misused, we are notifying you so that you can, if you deem appropriate, take additional steps to protect your personal information. We deeply regret that individuals like you, who are the primary beneficiaries of LexisNexis® products and services, may have been affected by this incident.

#### How LexisNexis Will Assist You

We are committed to assisting you through this unfortunate situation and providing you with the tools to correct any problems that may arise.

To that end, we are working with Equifax®, a credit management and identity theft protection service, to help you monitor your credit reports for one year at no cost.

Equifax will help you protect your identity and your credit information through these steps:

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- Most states have a consumer fraud division, and you can also enlist their help. A list of state offices is available on the special LexisNexis Web site listed above. You may also call us at 1-866-293-3894 to obtain this information.
- For more general educational information on identity theft, visit the Federal Trade Commission Web site, [www.consumer.gov/idtheft](http://www.consumer.gov/idtheft), or call at 1-877-IDTHEFT (1-877-438-4338).

#### **Why Does LexisNexis Have This Type of Information?**

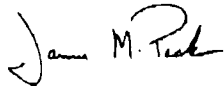
LexisNexis provides information such as public records, publicly available information and non-public information (such as Social Security numbers and Driver's License numbers) to business, government and legal professionals for legitimate business uses.

LexisNexis only provides access to non-public information to customers with a legally permissible purpose. These customers include law enforcement agencies, federal homeland security departments, prospective employers, banking and financial services companies and insurance carriers. Examples of the ways LexisNexis products and services are used include:

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LexisNexis Risk Management Group

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3-in-1 Credit Report provides you with an easy-to-read, single report that contains your credit information from all three credit reporting agencies. You will also have access to our Customer Service agents.

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