



## Fax Cover Sheet

**DATE:** February 8, 2007      **TIME:** 2:17:33 PM

**TO:** New York State Consumer Protection Board  
Security Breach Notification

**PHONE:**

**FAX:** (518) 474-2474

**FROM:** Linda Clark      **PHONE:** 561-999-3963

**FAX:** 561-981-0869

**RE:**

**CC:**

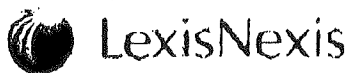
**Number of pages including cover sheet:** 13

### Message

**Please see attached.**

**Confidential Notice:** The documents accompanying this facsimile transmission contain information that is legally privileged, constitute inside information under securities laws or are otherwise privileged and protected from unauthorized disclosure. If you have received this document in error, you are hereby requested to contact the sender immediately by telephone or otherwise so that we can arrange for the return of these documents. If you receive this telecopy in error, you are hereby notified that any disclosure, copying, distribution or the taking of any action in reliance on the contents hereof is strictly prohibited.

**Confidential**



LINDA K. CLARK, ESQ.  
CORPORATE COUNSEL  
LEXISNEXIS® RISK & INFORMATION ANALYTICS GROUP  
AUTHORIZED FLORIDA HOUSE COUNSEL

February 7, 2007

**CONFIDENTIAL**

Office of the New York State Attorney General (by facsimile and mail)  
Asst. Attorney General in Charge  
Bureau of Consumer Frauds  
120 Broadway - 3<sup>rd</sup> Floor  
New York, NY 10271  
Fax: (212) 416-6003

New York State Consumer Protection Board (by facsimile)  
Security Breach Notification  
5 Empire State Plaza, Suite 2101  
Albany, New York 12223  
Fax: (518) 474-2474

New York State Office of Cyber Security and Critical Infrastructure Coordination (by facsimile)  
30 S. Pearl Street - Floor P2  
Albany, New York 12207-3425  
Fax: (518) 474-9090

We are writing to inform you about two incidents affecting LexisNexis customers in which personally identifiable information of New York residents may have been accessed through unauthorized use of LexisNexis services. Based on the investigation of each of these incidents, LexisNexis is notifying those individuals whose personal information may have been accessed.

The first incident involved unauthorized searches due to a local law enforcement customer's potentially compromised user ID where the customer was unable to validate certain searches on the account. Upon investigation, LexisNexis is notifying 218 New York residents. A copy of the notification letter sent in connection with the first incident is enclosed. The second incident involved unauthorized searches due to a local government customer's potentially compromised user ID where the customer was unable to validate certain searches on the account. Upon investigation, LexisNexis is notifying 2 New York residents. A copy of the notification letter sent in connection with the second incident is enclosed.

LexisNexis will notify all potentially affected individuals by letter. As explained therein, LexisNexis is providing free credit monitoring services to each individual and additional assistance free of charge should there be any indication of misuse of personal information relating to the individual.

6601 Park of Commerce Blvd \* Boca Raton, FL 33487

561-999-3963 Phone \* 561-981-0869 Fax

Page Two

Our investigation following each incident was conducted in cooperation with the affected customer and law enforcement.

Please do not hesitate to contact me at (561) 999-3963 if you have questions or concerns.

Very Truly Yours,

A handwritten signature in cursive script that reads "Linda K. Clark".

Linda K. Clark

Enclosures (Reporting Form and Attachment, Form Notices)

**Reporting Form**  
**For Business, Individual or NY State Entity reporting a**  
**"Breach of the Security of the System"**  
**Pursuant to the Information Security Breach**  
**and Notification Act (General Business Law §889-aa;**  
**State Technology Law §208)**

Name of Business, Individual or State Entity	<u>LexisNexis Risk &amp; Information Analytics Group Inc.</u>
Date of Discovery of Breach:	<u>Please see attached.</u>
Estimated Number of Affected Individuals:	<u>Please see attached.</u>
Date of Notification to Affected Individuals:	<u>Please see attached.</u>
Manner of Notification:	<input checked="" type="checkbox"/> written notice
	<input type="checkbox"/> electronic notice (email)
	<input type="checkbox"/> telephone notice

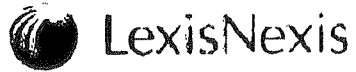
Are you requesting substitute notice?  Yes  No (If yes, attach justification)

Content of Notification to Affected Individuals: Describe what happened in general terms and what kind of information was involved. Please attach copy of Notice.

Please see attached.

Name of Business or Individual Contact Person:	<u>Linda Clark</u>
Title:	<u>Corporate Counsel</u>
Telephone number:	<u>561-999-3963</u>
Email:	<u>Linda.Clark@LexisNexis.com</u>

Dated:	<u>February 7, 2007</u>
Submitted by:	<u>Linda Clark</u>
Title:	<u>Corporate Counsel</u>
Address:	<u>6601 Park of Commerce Blvd., Boca Raton, FL 33487</u>
Email:	<u>Linda.Clark@LexisNexis.com</u>
Telephone:	<u>561-999-3963</u> Fax: <u>561-999-0869</u>



<b>Incident</b>	<b>Date of Discovery of Breach</b>	<b>Estimated Number of Affected Individuals</b>	<b>Date of Notification to Affected Individuals</b>
First	November 9, 2006	218	January 22, 2007
Second	December 29, 2006	2	January 23, 2007

Sample Notification Letter for First Incident



LexisNexis

944 E. 9th Street, Suite 200  
Ann Arbor, MI 48106

NON  
TTGG08311  
A. B. ANYNAME  
1234 ANY STREET  
ANYTOWN US 06789-1234

URGENT - DO NOT DISCARD  
IMPORTANT SECURITY INFORMATION



January 22, 2007

TT00008311  
A. B. Anyname  
1234 Any Street  
Anytown US 06789-1234

Dear A. B. Anyname:

I am writing to you on behalf of LexisNexis, and our affiliated company, Seisint, because we believe that a law enforcement customer's ID may have been used in an unauthorized manner that allowed some personal information about you to be viewed. That information may have included your name, address, Social Security number and/or Driver's License number. We understand that such unauthorized use or exposure may create a risk of identity theft and we treat it very seriously. Although we have no evidence that your information has been misused, we are notifying you so that you can, if you deem appropriate, take additional steps to protect your personal information. We deeply regret that individuals like you, who are the primary beneficiaries of LexisNexis® products and services, may have been affected by this incident.

#### How LexisNexis Will Assist You

We are committed to assisting you through this unfortunate situation and providing you with the tools to correct any problems that may arise.

To that end, we are working with Equifax®, a credit management and identity theft protection service, to help you monitor your credit reports for one year at no cost to you.

Equifax will help you protect your identity and your credit information through the following steps:

1. Placing a fraud alert on your credit file at Equifax and the other two credit reporting agencies, TransUnion and Experian; and
2. Enrolling you in Equifax Credit Watch™ Gold with 3-in-1 Monitoring identity theft protection service.

These services are being provided to you at no cost. This letter and the attachment include everything you need to sign up for this free service.

If there is reason to believe that you have been a victim of fraud as a result of this incident, we have arranged for you to have access to the Equifax Fraud Victim Assistance Program. This program includes one-on-one counseling from an Equifax Fraud Specialist and provides you with:

- **Fraud Education Providers** – a Fraud Specialist will provide you with information that will help you proactively protect yourself from identity theft and provide information to you regarding the credit reporting agencies, such as telephone numbers, Web sites and mailing addresses; and
- **Fraud Assistance** – a Fraud Specialist will provide you with a step-by-step process to reduce your exposure to further fraud and clear up any negative credit inferences in your name by helping you write letters that you may send to dispute fraudulent accounts at credit bureaus and with creditors.

#### How to Enroll

Equifax has a simple Internet-based verification and enrollment process. Go to [www.myservices.equifax.com/lexis](http://www.myservices.equifax.com/lexis), and follow these steps:

- **Step 1 - Consumer Information:** Complete the form with your contact information (name and address) and click "Continue". The information is provided in a secure environment.
- **Step 2 - Identity Verification:** Complete the form with your Social Security Number, Date of Birth and Telephone Number, establish a User Name and Password, agree to the Terms of Use and click "Continue". The system will present you with one or two security questions to complete the identity verification process.
- **Step 3 - Payment Information:** During the "check out" process, provide the following promotional code **LEXIS2-999999999** in the "Enter Promotion Code" box (enter the code exactly as provided). This code eliminates the need to provide a credit card number for payment.

- **Step 4 - Order Confirmation:** Upon successful enrollment, you can access your 3-in-1 Credit Report by clicking "View My Product". You will receive a follow-up e-mail confirmation of your enrollment in Equifax Credit Watch Identity theft protection service.

If you prefer, you can order the enrollment materials to be delivered via U.S. Mail. Please call the Equifax Customer Service Center at 1-866-572-1424, provide the promotional code from the Payment Information step above and their Customer Service representative will assist you in ordering these services.

#### **Additional Resources**

In addition to the support through Equifax, you may also wish to utilize one or more of the following resources:

- We have created a special Web site with the information contained in this letter, plus additional information regarding identity security. Visit the site at <http://privacyfacts.lexisnexis.com>. If you prefer to speak with someone at LexisNexis, you may reach us at 1-866-293-3894.
- Most states have a consumer fraud division, and you can also enlist their help. A list of state offices is available on the special LexisNexis Web site listed above. You may also call us at 1-866-293-3894 to obtain this information.
- For more general educational information on identity theft, visit the Federal Trade Commission Web site, [www.consumer.gov/idtheft](http://www.consumer.gov/idtheft), or call at 1-877-IDTHEFT (1-877-438-4338).

#### **Why Does LexisNexis Have This Type of Information?**

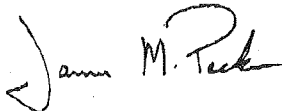
LexisNexis provides information such as public records, publicly available information and non-public information (such as Social Security numbers and Driver's License numbers) to business, government and legal professionals for legitimate business uses.

LexisNexis only provides access to non-public information to customers with a legally permissible purpose. These customers include law enforcement agencies, federal homeland security departments, prospective employers, banking and financial services companies and insurance carriers. Examples of the ways LexisNexis products and services are used include:

- Banks verifying their customer information for new accounts or loan applications;
- Insurance companies verifying their customer information to reduce applicant and claims fraud in efforts to keep insurance rates lower; and
- Telecommunications companies verifying their applicant information for instant cell phone service.

Again, we regret any trouble this incident may cause you. We pledge our continued commitment to reducing this type of incident. We will continue to work with our customers and appropriate authorities to improve data safeguards and privacy protections.

Very truly yours,



James M. Peck, CEO  
LexisNexis® Risk & Information Analytics Group

**Direct Correspondence:**  
LexisNexis Regulatory Compliance  
9443 Springboro Pike  
Miamisburg, OH 45342

### The Equifax Consumer Protection Solution

Equifax is pleased to offer you a solution that will help you protect your identity and your credit information.

#### Place a Fraud Alert

A fraud alert is a consumer statement added to your credit report. This statement alerts creditors of possible fraudulent activity within your report as well as requests that they contact you prior to establishing any accounts in your name. Once the fraud alert is added to your credit report, all creditors should contact you prior to establishing any account in your name. To place a fraud alert on your Equifax credit file, you may contact our auto fraud line at 1-877-478-7625, and follow the simple prompts. Once the fraud alert has been placed with Equifax, a notification will be sent to the other two credit reporting agencies, Experian and Trans Union, on your behalf.

#### Enroll in Equifax Credit Watch™ Gold with 3-in-1 Monitoring

Equifax Credit Watch will provide you with an "early warning system" to changes to your credit files and help you to understand the content of your credit files at Equifax, Experian and Trans Union. The key features and benefits are listed below.

Equifax Credit Watch Gold with 3-in-1 Monitoring provides you with a one-year membership service:

- Comprehensive credit files monitoring, with daily notification of key changes to your Equifax, Experian and Trans Union credit files.
- "No News" notification if no key changes occur during the month.
- One copy of your 3-in-1 Credit Report and unlimited copies of your Equifax Credit Report™.
- Up to \$20,000 Identity Fraud Expense Coverage with \$0 deductible (certain limitations and exclusions apply)† at no additional cost to you.
- Premium Customer Care 24 hours a day, 7 days a week to assist you in understanding the content of your credit information.

The accompanying letter contains the instructions for obtaining these services.

† Identity Fraud Expense Reimbursement Master Policy underwritten by Travelers Casualty and Surety Company of America and its properly casualty affiliates. Hartford, CT 06183. Coverage for all claims or losses depends on actual policy provisions. Availability of coverage can depend on our underwriting qualifications and state regulations. Coverage is not available for residents of New York.

Equifax's credit monitoring products are protected by US Patent 7,200,052



LexisNexis

9443 Springboro Pike  
Atiamburg, OH 45342

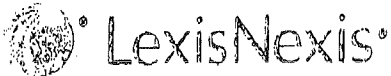
P.10/13

To: #915164742474

Sample Notification Letter for Second Incident

**URGENT – DO NOT DISCARD  
IMPORTANT SECURITY INFORMATION**

TT00009956  
A B Anyname  
123 Any Street  
Anytown US 01234-5678



January 23, 2007

TT00009956  
A B Anyname  
123 Any Street  
Anytown US 01234-5678

Dear A B Anyname:

I am writing to you on behalf of LexisNexis, and our affiliated company, Seisint, because we believe that a customer's ID may have been used in an unauthorized manner that allowed some personal information about you to be viewed. That information may have included your name, address, Social Security number and/or Driver's License number. We understand that such unauthorized use or exposure may create a risk of identity theft and we treat it very seriously. Although we have no evidence that your information has been misused, we are notifying you so that you can, if you deem appropriate, take additional steps to protect your personal information. We deeply regret that individuals like you, who are the primary beneficiaries of LexisNexis<sup>®</sup> products and services, may have been affected by this incident.

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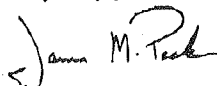
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James M. Peck, CEO  
LexisNexis® Risk & Information Analytics Group

**Direct Correspondence:**  
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† Identity Fraud Expense Reimbursement Master Policy underwritten by Travelers Casualty and Surety Company of America and its property casualty affiliates, Hartford, CT 06183. Coverage for all claims or losses depends on actual policy provisions. Availability of coverage can depend on our underwriting qualifications and state regulations. Coverage is not available for residents of New York.

Equifax's credit monitoring products are protected by US Patent 7,208,052