

NEW YORK STATE SECURITY BREACH REPORTING FORM

Pursuant to the Information Security Breach and Notification Act
(General Business Law §899-aa; State Technology Law §208)

Name of Entity: Appalachian Railcar Services, Inc. & Affiliates
Street Address: 737 Eleanor Industrial Park
City: Eleanor State: NV Zip Code: 25070

Sector (please select one): Local Government State Government Federal Government
 Not-for-profit Commercial Educational

Type of Business (please select one): Biotech/Pharm Education Financial Services
 Health Care Insurance Retail/Internet Telecom. Transportation
 Other Service - Maintenance & Repair to Railcar Industry

Persons Affected: Total: 243 Dates: Breach Occurred: 4-19-08
NY residents: 3 Breach Discovered: 4-19-08
Consumer Notification: 5-20-08

Reason for delay, if any, in sending notice: Waiting on copy of police report;
paralegal research various states; determining affected employees

Description of Breach (please select all that apply): Hacking incident; Inadvertent disclosure;
 Stolen computer, CD, tape, etc; Lost computer, CD, tape, etc; Insider wrongdoing;
 other (specify): _____ (Attach additional description if necessary)

Information Acquired (please select all that apply): Name; SSN; Driver's license no.;
 Account number; Credit or Debit card number; Other (specify): Date of Birth;
Address, Telephone Number

Manner of Notification to Affected Persons (Attach Copy): Written; Electronic (email);
 Telephone; Substitute notice (provide justification). List dates of any previous (within 12 months)
breach notifications: _____

Credit Monitoring or Other Service Offered: Yes; No; Duration: _____
Service: _____ Provider: _____

Submitted by: Pixie L. Legg Title: N.R. Director
Firm Name (if other than entity): Appalachian Railcar Services, Inc. & Affiliates
Telephone: 304.586.7085 Ext. 100 Email: pixie.legg@apprailcar.com

Dated: 5/20/08