

# proximox

TELEPHONE: (212) 728-2496

PROXIMA ALFA INVESTMENTS (USA) LLC  
(IN LIQUIDATION)

March 24, 2010

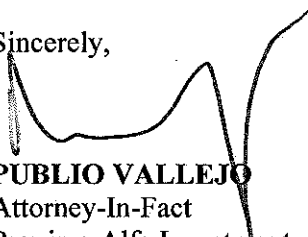
Attorney General Janet Mills  
Office of the Maine Attorney General  
ATTN: Security Breach Notification  
6 State House Station  
Augusta, ME 04333

Dear Attorney General Mills,

We are writing to notify you that on February 11, 2010, we learned of a breach of security involving the personal information of one Maine resident. The enclosed notification letter which sets forth the details of the incident will be sent to the resident on March 24, 2010.

Please feel free to contact me at (1-212) 728-2496 if you have any questions.

Sincerely,



**PUBLIO VALLEJO**  
Attorney-In-Fact  
Proxima Alfa Investments (USA) LLC

CONSUMER PROTECTION DIVISION  
**RECEIVED**  
APR 1 2010  
OFFICE OF ATTORNEY GENERAL

Dear [Maine Resident],

As you may know, Proxima Alfa Investments (USA) LLC ceased operations in the middle of 2009 and is in the process of winding down. In November 2009, we discovered that a number of tapes that had been used to back up Proxima's computer server were missing along with several other items from Proxima's New York office. On February 11, 2010, we learned that the missing tapes contained information of Maine residents. After a thorough investigation, we have determined that the tapes and other items may have been stolen, and we have filed a police report with the New York City police department.

As a courtesy, we are writing to inform you of this incident.

### ***Types of Information Involved***

If you provided certain personal information to Proxima, it may be contained somewhere on the missing back-up tapes and thus subject to potential unauthorized access. Such information could include one or more of your name, address, email address, telephone number, Social Security number, bank account information, tax ID number, passport number, or scanned copy of your passport.

Although we do not believe that any personal information on the back-up tapes is easily accessible due to the tapes' format and organization, and in some cases encryption, we are writing to alert you to this incident so that you may take steps to protect yourself against the possibility that it could be accessed and misused.

### ***What You Can Do***

1. We recommend that you remain vigilant over the next 12 to 24 months and routinely review and monitor your bank statements, credit card statements, and any statements relating to recent financial transactions for unauthorized or unexpected activity. You should promptly report any suspicious activity or suspected identity theft to Proxima at (1-212) 728-2496 and to the U.S. Federal Trade Commission (the "FTC") by calling 1-877-IDTHEFT (1-877-438-4338). For more information on steps you can take to protect against identity theft and to report suspected incidents of identity theft, we encourage you to visit the FTC at [www.ftc.gov/idtheft](http://www.ftc.gov/idtheft), [www.ftc.gov/credit](http://www.ftc.gov/credit) or, call 1-877-IDTHEFT (1-877-438-4338).
2. One way to monitor your financial accounts is to review your credit report, which contains information about you, including what accounts you have and your bill-paying history. Under federal law, you are entitled to one free copy of your consumer credit report each year from each of the three national consumer reporting agencies, Experian, Equifax and TransUnion. You may request your free annual consumer credit report by visiting [www.annualcreditreport.com](http://www.annualcreditreport.com) or by calling 1-877-FACTACT (1-877-322-8228).

When you receive your credit reports, look them over carefully for unexpected or inaccurate information. If you see anything you do not understand, call the credit reporting agency at the telephone number on the report. Even if you do not find any signs of fraud on your reports, we recommend that you check your credit report periodically so that, if the need ever arises, you can have information relating to fraudulent transactions deleted.

3. We recommend that you also place a fraud alert on your credit files. A fraud alert lets creditors know to contact you before opening new accounts in your name or making changes to existing accounts. You may obtain a free 90-day Fraud Alert status with all three national credit reporting agencies by calling Experian at 1-888-397-3742.

We regret any inconvenience. To bolster the security of your information, we have encrypted and biometrically protected all Proxima data in our possession or stored it in secure locations. If you have any questions or concerns, you may call us for further information and assistance at (1-212) 728-2496.

Sincerely,

**PUBLIO VALLEJO**  
Attorney-In-Fact  
Proxima Alfa Investments (USA) LLC